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PATENT
Attorney Docket No.: SP01-235

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Sector

Applicant: Gang (Paul) Chen
Mark F. Krol
Yongquin Liu
Qi (Chris) Wu

Serial No: 09/932221

Filed: 8/17/01

For: TUNABLE,
RECONFIGURABLE
OPTICAL ADD-DROP
MULTIPLEXER AND A
SWITCHING DEVICE



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Group Art Unit: 2661

Examiner: To Be Assigned

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

ATTN: Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts dated September 20, 2001, Applicant submits a Declaration for filing in the above-identified application, the required surcharge of \$130.00, and a copy of the Notice to File Missing Parts.

Please associate the enclosed Declaration with the above-identified application.

Authorization is given to charge the above-noted fees to Deposit Account No. 03-3325. If a fee is required for an extension of time under 37 C.F.R. § 1.136 not accounted for above, such an extension is requested and the fee should also be charged to our deposit account.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Assistant Commissioner of Patents and Trademarks, Washington, DC 20231 on 12/20/01

Date of Deposit

Svetlana Z. Short
Svetlana Z. Short Signature

Respectfully submitted,

Svetlana Short

Svetlana Z. Short

Registration No.: 34,432

Phone: (607) 974-(607)-974-0412

Date: 12/20/01

03/12/2002 SSALEKU 00000001 033325 09932221

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110.00 CH



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/932,221	08/17/2001	Chen	SP01-235

22928
CORNING INCORPORATED
SP-TI-3-1
CORNING, NY 14831

CONFIRMATION NO. 4902

FORMALITIES LETTER



OC000000006581553

Date Mailed: 09/20/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION


FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*


Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

11/28/2002 09:00:00 00000132 933225 09532221

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